Approved for use through 07/31/2003. OMB 0651-0032

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UTILITY

PATENT APPLICATION

TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Fee Transmittal Form (e.g., PTO/SB/17)

Applicant claims small entity status.

(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross Reference to Related Applications

Statement Regarding Fed sponsored R & D Reference to sequence listing, a table,

or a computer program listing appendix Background of the Invention

Brief Summary of the Invention
 Brief Description of the Drawings (if filed)

Newly executed (original or copy)

Copy from a prior application (37 CFR 1.63(d))

(for continuation/divisional with Box 18 completed)

Signed statement attached deleting inventor(s) named in the prior application.

**DELETION OF INVENTOR(S)** 

see 37 CFR 1.63(d)(2) and 1.33(b).

following the title, or in an Application Data Sheet under 37 CFR 1.76:

6. X Application Data Sheet. See 37 CFR 1.76

See 37 CFR 1.27.

 Detailed Description - Claim(s)
- Abstract of the Disclosure

4. X Drawing(s) (35 U.S.C. 113)

5. Oath or Declaration

х

Signature

X Specification

(Submit an original, and a duplicate for fee processing)

APPLICATION ELEMENTS

[Total Pages

[Total Sheets

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## Attorney Docket No. 21737-00013-US2 First Inventor Katsuki HAZAMA MULTILEVEL SEMICONDUCTOR MEMORY, WRITE/READ METHOD THERETO/THEREFROM AND STORAGE MEDIUM STORING WRITE/READ PROGRAM Express Mail Label No. MS Patent Application Commissioner for Patents ADDRESS TO: See MPEP chapter 600 concerning utility patent application contents. P.O. Box 1450 Alexandria, VA 22313-1450 CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) Nucleotide and/or Amino Acid Sequence Submission 8. (if applicable, all necessary) 66 Computer Readable Form (CRF) b. Specification Sequence Listing on: CD-ROM or CD-R (2 copies); or Paper Statements verifying identity of above copies **ACCOMPANYING APPLICATIONS PARTS** 9. Assignment Papers (cover sheet & document(s)) 37 CFR 3.73(b) Statement 10. Power of (when there is an assignee) Attorney 10 11. English Translation Document (if applicable) Information Disclosure Copies of IDS 12. Х Statement (IDS)/PTO-1449 Citations 13. **Preliminary Amendment** Return Receipt Postcard (MPEP 503) 14. (Should be specifically itemized) Certified Copy of Priority Document(s) 15. (if foreign priority is claimed) Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 16. 17. Other:

Date

Continuation X Divisional	Continuation-in-part (CIP)	of prior application No.:	09/438,295
Prior application information: Examiner		Art Unit:	2785
For CONTINUATION or DIVISIONAL APP Box 5b, is considered a part of the disclosu The incorporation can only be relied upon w	i e oi the accompanying continua	tion or divisional annication and	an oath or declaration is supplied under
	19. CORRESPOND	ENCE ADDRESS	
X Customer Number:	306	78 OR	Correspondence address below
Name			
Address		_	
City	State	Zip Co	ode
Country	Telephone		Fax
Name (Print/Type) George R	Pettit /)	Pagistration No. (Attornov/Age	ne 27 260

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification

PTO/SB/17 (05-03)

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			Compl te if Known					
FEE TRANSMITTAL		Application Number		Numb	er TBA (Div. of 09/438,295)			
for FY 2003		Filing Date			Concurrently Herewith			
Effective 01/01/2003, Patent fees are subject to annual revision.		First Named Inventor		Inver	ntor Katsuki HAZAMA			
Enactive 01/01/2003, Fatalities are studed to allittle revision.		Examiner Name		ame	Not Yet Assigned			
Applicant claims small entity status. See 37 CFR 1.27		Art Unit		-	2785			
TOTAL AMOUNT OF PAYMENT (\$) 750.00		Attom	ey Do	cket No	o. 21737-00013-US2			
METHOD OF PAYMENT (check all that apply)			==	FEE	CALCULATION (continued)	==		
Cradit Money		ADDITIO	ONAL					
Caro Coroer Co	۱ ° ` (	יוווטטי	UNAL	FEES	•			
X Deposit Account	Laro	e Entity	Small	Entity				
Deposit Account 22-0185	Fee	Fee	Fee	Fee	- Fee Description			
Number	Code	(\$)	Code	(\$)	Fee	Paid		
Deposit Account Connolly Bove Lodge & Hutz LLP	1051	130	2051	65	Surcharge – late filing fee or oath			
Name The Director is hereby authorized to: (check all that apply)		50	2052	25	Surcharge – late provisional filing fee or cover sheet.			
X Charge fee(s) indicated below X Credit any overpayments		130	1053	130	Non-English specification	$\dashv$		
Champ any additional factor during the pandage of this			1812		For filing a request for exparte reexamination	$\dashv$		
application				•	Requesting publication of SIR prior to			
Charge fee(s) indicated below, except for the filling fee		920*	1804	920°	Examiner action			
to the above-identified deposit account.		1,840*	1805	1,840*	Requesting publication of SIR after Examiner action			
FEE CALCULATION		110	2251	55	Extension for reply within first month			
1. BASIC FILING FEE Large Entity Small Entity	1252	410	2252	205	Extension for reply within second month			
Large Entity   Small Entity   Fee   Fee	1253	930	2253	465	Extension for reply within third month	$\dashv$		
Code (\$) Code (\$)	1254	1,450	2254	725	Extension for reply within fourth month			
1001   750   2001   375   Utility filing fee     750.00   1002   330   2002   165   Design filing fee	1255 1401	1,970 320	2255 2401	985 160	Extension for reply within fifth month			
1003 520 2003 260 Plant filing fee	1402	320	2402	160	Notice of Appeal Filing a brief in support of an appeal	$\dashv$		
1004 750 2004 375 Reissue filing fee	1403	280	2403	140	Request for oral hearing			
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding			
SUBTOTAL (1) (\$) 750.00		110	2452	55	Petition to revive – unavoidable			
	1453 1501	1,300	2453	650	Petition to revive - unintentional			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		1,300	2501	650	Utility issue fee (or reissue)	}		
Claims below Fee Paid		470	2502	235	Design issue fee			
Total Claims 4 -20** = 0 x 18.00 = 0.00		630 130	2503 1460	315 130	Plant issue fee Petitions to the Commissioner			
Claims 2 -3 -3 0 x 84.00 = 0.00		50	1807	50	Processing fee under 37 CFR 1.17(q)			
Multiple Dependent		180	1806	180	Submission of Information Disclosure Stmt	{		
Fee Fee Fee Fee Fee					Recording each patent assignment per			
Code (\$) Code (\$) Fee Description	8021	40	8021	40	property (times number of properties) Filing a submission after final rejection			
1202	1809	750	2809	375	(37 CFR 1.129(a))			
1203 280 2203 140 Multiple dependent claim, if not paid	1810	750	2810	375	For each additional invention to be examined (37CFR 1.129(b))			
1204 84 2204 42 ** Reissue independent claims		750	2801	375	Request for Continued Examination (RCE)	• • • • • • • • • • • • • • • • • • • •		
over original patent 1205 18 2205 9 ** Reissue claims in excess of 20		900	1802	900	Request for expedited examination of a design application			
and over original patent		ther fee (specify)						
SUBTOTAL (2) (\$) 0.00	*Redu	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0.00						
**or number previously paid, if greater; For Reissues, see above								
SUBMITTED BY					Complete (if applicable)			
Name (Print/Type) George R/Pettit Registration No. (Attorney/Agent) 27,369					Telephone (202) 331-7111			
Signature Letter					Date 8/19/03			